MOU between the Resource Center for Science and Engineering of the UPR Central Administration and the UPR Mayagüez Campus for the Management and Implementation of the NIH (PR-INBRE) Award # 2P20GM103475-14

The Resource Center for Science and Engineering (RCSE) received the PR-INBRE award 2P20GM103475-14 from NIH for the project: *Advancing Competitive Biomedical Research in Puerto Rico* in the amount of \$20,213,580 from NIH to be spent in a period of five years.

The University of Puerto Rico Mayagüez Campus is one of the major participants in this grant. NIH funds to be spent at the Mayagüez Campus will be handled following NIH subaward procedures. Through the PR-INBRE program the Mayagüez Campus has been awarded the amount of \$202,803 in federal funds and \$57,259 in UPR Institutional Funds for a total award of \$260,062 for the period ending on May 31, 2016.

This MOU establishes the terms and conditions for the implementation and management of the yearly subawards that will be managed by the UPR Mayagüez Campus. The MOU will be done on a yearly basis, in accordance to the NIH approved proposal No. 2P20GM103475-14 "Advancing Competitive Biomedical Research in Puerto Rico".

The parties under this MOU are the University of Puerto Rico, a public corporation created by Law Number 1 of January 20, 1966, acting on behalf of the Resource Center for Science and Engineering - Advancing Competitive Biomedical Research in Puerto Rico, represented by the President of the University of Puerto Rico, Uroyoán Walker Ramos, Ph.D. (hereafter referred to as RP INRPE) and the University of Puerto Rico Management of the University of Puerto Rico Competitive Removes the University of Puerto Rico Competitive Removes the University of Puerto Rico Competitive Removes the University of Puerto Rico Removes the Removes

referred to as PR-INBRE) and the University of Puerto Rico Mayaguez Campus, an institution of higher education and a public corporation under the laws of the state of Puerto Rico, represented by John Fernández Van Cleve, Ph.D.,

(hereafter referred as the OTHER PARTY).

Terms and Conditions:

- To become effective the MOU must be signed by the Chancellor of the UPR Mayagūez Campus and co-signed by the President of the University of Puerto Rico.
- 2- The Principal Investigator (PI) of the MOU will be Dr. Juan Lopez Garriga, professor of Chemistry of the UPR Mayaguez Campus.
- 3- Changes of the authorized budget will be allowable during the period of performance of this MOU. In order to be effective, it has to be authorized and documented in written by the Principal Investigator of PR-INBRE.

- 4- The OTHER PARTY will ensure that all allowable costs charged to NIH are governed by NIH Grant Policy Statement, 45CFR Part 75, 2 CFR 200, Federal Regulations and, UPR regulations, as applicable. Charges made to the award that are not allowable, according to these regulations, will not be reimbursed to the other party.
- 5- In order to receive federal and match funds from the INBRE grant award, the other party must submit invoices, showing all allowable expenses by budget line item included in the MOU budget page and consistent with the budget justification, before the other party can receive reimbursement for the expenses incurred in the project. The invoices must be made on a quarterly basis and submitted in original and three copies to the following address:

Finance Office Resource Center for Science and Engineering University of Puerto Rico P.O. Box 23334 San Juan, PR 00931-3334

All invoices must include evidence of all expenses, time and effort reports, and copy of cancelled checks.

- 6- The Resource Center will send reimbursement for expenses incurred in the MOU no later than 30 days after receiving the invoice and the accompanying evidence of all the expenses and after the INBRE PI has certified satisfactory compliance with the MOU terms and conditions.
- 7- All equipment that is included in the MOU will need a certification from the other party that appropriate space and facilities are available for the installation and use of the equipment, including the maintenance and upkeep of the instrument, prior to disbursement of equipment funds. The equipment received through the MOU will be made available to all of the INBRE researchers, irrespective of campus, and may be used by other researchers in the Campus that request its use, subject to time availability to be decided by the person in charge of the equipment. All equipment paid with NIH funds must be included in the institution's equipment inventory and clearly marked as federally funded equipment. The campus must comply with Central Administration Finance circular 12-18.
- 8- This MOU is part of a complex multicampus and transdisciplinary Jurisdiction-wide INBRE project that has as its final goal to strengthen the science and technology competencies of the current and future science workers within the biomedical, and biotechnology-based programs in

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academia, government institutes and agencies and biopharmaceutical industries in Puerto Rico The signer of this MOU and the INBRE professors within his jurisdiction agree to contribute to the Strategic Plan of the NIH and to the achievement of the Jurisdiction-wide INBRE goals.

- 9- The Parties state that in the selection and treatment of participants there will be no discrimination on the basis of sex, race, color, place or date of birth, national origin, social status, physical or mental handicap, political or religious beliefs, or status as a military veteran.
- 10- The Parties are committed to maintaining records of all reports, timesheets for jobs or assistantships, and all other documents related to the services discussed in this Agreement, in order that they be available for examination or copying by Federal Audits, by the Office of Internal Auditors of the University of Puerto Rico, by a firm of outside auditors hired by the University of Puerto Rico, or by the Office of the Comptroller of Puerto Rico in its auditing of the University of Puerto Rico. Audits will be carried out at reasonable times during the course of the services or after their completion, in accordance with generally recognized auditing practices. Documents will be kept for a period of no less than six (6) years, including the last fiscal year when the project ends or until the Office of the Controller of Puerto Rico has made its investigation, whichever occurs first. In addition the parties will comply with the procedures of the Program of Public Documents of the Office of General Services in Puerto Rico.
- 11-Each of the parties consents to exempt and exonerate the other party from responsibility in the case of any judicial or extrajudicial claim, and to provide compensation for damages and/or mental or moral anguish that may be suffered by any person or legal entity, when these damages are alleged to have been caused by the negligent, reckless, and/or culpable actions, conduct, or omissions of each party, its agents or employees, when such damages shall have occurred totally or partially during the realization of this MOU.
- 12-Specific agreements may be drafted further by the aforementioned parties and research partners in order to establish the specific terms and agreements of the MOU's by means of which the funds will be transferred to their institutions.
- 13-This MOU may be renewed or modified upon an additional period of funding by the NIH. The request for renewal, modification, and/or expansion can be done at any moment during the period of performance of the MOU.
- 14-If the present MOU is not renewed, expanded, or modified, the parties are committed to the completion of activities in progress. The expiration of the MOU will not affect the development and completion of the programs, projects or activities that are still in progress.

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- 15-Either party may rescind the present MOU by notification thirty (30) days prior to the date of the rescission. NIH policy guidelines will be strictly followed. One party may immediately cancel the agreement without prior notice upon the other party committing negligence, non-compliance or violation of a condition of the current Agreement. The repeal of the present MOU may be filed in writing, without damage to those activities previously agreed upon, and be resolved on the basis of mutual agreement. The remaining parties will be committed to the continuation and completion of Project activities.
- 16-The specific commitments established in this MOU convey our aspiration of fostering a research environment that is conducive to the systemic institutional transformations we seek to make in the research mentoring and training of our undergraduate students.

17- INDEPENDENT CONTRACTOR CLAUSE

----THE OTHER PARTY, states and accepts that it is an independent contractor of the Central Administration and/or RCSE of UPR, and that neither itself; its agents, partners, employees; or the other party are, or will, deemed themselves to be, or be considered, during the term of this Agreement employees of the Central Administration and/or RCSE of UPR. This Agreement does not allow THE OTHER PARTY, to claim any of the rights and prerogatives that under the existing laws and regulations are available to the regular employees of the Central Administration and/or RCSE of UPR.

- 18- Having read this document and made aware of the content and scope of each of its clauses, the parties declare that they sign this agreement and agree to its stipulations in good faith; and by that good faith they commit themselves to all actions that will ensure its fulfillment. Should there arise a discrepancy in interpretation, it will be resolved by the mutual consent of the parties in the same spirit which led them to sign it.
- 19-For the purpose of administering this Agreement and establishing specific arrangements for each program subject to the same, the following steps will be followed.

Any notice or other communication given under this Agreement will be in writing and signed by or on behalf of the Party giving it and will be served by delivering it personally or sending it by registered airmail or fax to the address and to the attention of the relevant Party set out below (or such other address or fax number as may be notified in writing from time to time by the relevant Party to the other Party):

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If to UPR:

University of Puerto Rico Central Administration Jardín Botánico Sur 1187 Calle Flamboyán San Juan, PR 00926-1117 Attention: Uroyoán Walker Ramos, Ph.D. Facsimile: 787-759-6917 Tel.: 787-250-0000

With a copy to:

University of Puerto Rico Resource Center for Science and Engineering PO Box 23334 San Juan, PR 00931-3334 Attention: Maria Vargas Facsimile: 787-756-7717 Tel. 787-765-5170, ext. 2062 m_vargas@rcse.upr.edu

If to UPR-Mayaguez:

University of Puerto Rico, Mayagüez Campus Chancellor Office PO Box 9000 Mayagüez, PR 00681-9000 Facsimile: 787-834-3031 Tel. 787-265-3878

Any such notice will be deemed to have been received: (i) if delivered personally, at the time of delivery; (ii) in the case of registered airmail, five (5) Business Days (as hereinafter defined) from the date of posting; and (iii) in the case of fax, at the time of transmission provided that a transmission report is generated by the sending fax machine confirming that the fax was sent to the proper number and that all pages were successfully transmitted.

"Business Day" means any day other than Saturday or Sunday or any other day on which either Party located at the address set forth above is authorized or required by law to remain closed.

20-The signing Parties reserve all and every right regarding their own names, initials, acronyms, stamp, seals, logos, coats of arms, any other trade mark or any other identifying emblem or symbol distinctive of the Institution, owned

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by each party and also in general as it regards to any intellectual property or industrial property rights they may have in regards to the same, without prejudice to any temporary use that the Parties may make of said marks, as it applies to each particular agreement entered into by them, in writing and by previous agreement.

The parties agree that in any publicity related to any of the activities covered by this Agreement the logos of each of them will be made part of the same. The use of any logos and in general of any industrial property right of the Parties will always be made pursuant to the previous authorization of the affected Party.

The Parties will only use the authorized version of the trade mark, logos or designs provided by each Party to the other, no changes will be made as it regards to color, forms or graphic symbols of said logos or marks. Any change made to said logos or marks, unless previously authorized, will be considered an infringement of the Parties trade mark.

Both parties agree to keep each other informed when their respective logos or marks are used by any of them in any promotion done under this MOU.

IN WITNESS THEREOF, the parties hereto have caused this Memorandum of UNDERSTANDING to be duly executed by their authorized officers as of the dates and place indicated below.

For the University Puerto Rico: Mayagüez Campus

John Fernandez Van Cleve, Ph.D. Changelloft ENNXCONNXXXX Date: December 11,2015.

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For the University of Puerto Rico: Central Administration

Uroyoan Walker Ramos, Ph.D. President

Dat: XUNNYU, 2015